Docket No.: 043888-0267

<u>PATENT</u>

14.1. IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Customer Number: 20277

Tsutomu OHZUKU, et al.

Confirmation Number: 9492

Application No.: 10/629,815

Group Art Unit: 1745

Filed: July 30, 2003

Examiner: LEE, CYNTHIA K

For: POSITIVE ELECTRODE ACTIVE MATERIAL AND NON-AQUEOUS ELECTROLYTE

SECONDARY BATTERY CONTAINING THE SAME

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The documents listed on the attached form PTO-1449 were cited during the prosecution of at least one of the following copending U.S. Patent Applications: 10/277,989; 10/333,269; and 10/362,944, which disclose positive electrode active material for non-aqueous electrolyte secondary batteries.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

as our correspondence address.

Bernard P. Codd

Registration No. 46,429

600 13th Street, N.W. Washington, DC 20005-3096

Phone: 202.756.8000 BPC:gav

Facsimile: 202.756.8087

Date: December 13, 2005

INFORMATION DISCLOSURE

CITATION IN AN APPLICATION 6

(PTO-1449)

ATTY. DOCKET NO. 043888-0267

SERIAL NO. 10/629,815

APPLICANT

Tsutomu OHZUKU, et al.

FILING DATE July 30, 2003 **GROUP**

1745

U.S. PATENT DOCUMENTS

DEC 1 3 2005

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code2 (# known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us	US 5,985,237 11/16/1999		Lu et al.	
	ĺ	us	6,416,902	07/2002	Miyasaka, Tsutomu	
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	•			FOREIGN PATEN	T DOCUMENTS	
EXAMINER'S	For	eign Pa	tent Document Public	cation Name o	Patentee or Pages Columns	Translation

Patentee or Pages, Columns, Translation
Cited Document Lines Where Relevant Figures Appear
A CORP. JAPAN (w/English Abstract)
NOVATIVE ES COMPANY
LECTRIC CO ENGLISH ABSTRACT ONLY LTD.
A CORP. ENGLISH ABSTRACT ONLY
CORP. JAPAN (w/English Abstract)
MO METAL JAPAN (w/English Abstract) G CO LTD.
- Corresponds to JAPAN (w/English Abstract) JP 05-242891
M IND CO LTD Corresponds to JAPAN (w/English Abstract) JP 2547992
ENTRAL RES & FRONT PAGE OF JAPAN LAB INC. (w/English Abstract & Partial Translation)
SINTIEL

		OTHER ART (Including Author	or, Title, Date, Pertinent Pages, Etc.)					
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		Ohzuku et al. Chemistry	Letters, Volume 30 (2001), Number 7, pp. 642-643					
		Ohzuku et al. Chemistry Letters, Volume 30 (2001), Number 8, pp. 744-745						
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		M.M. Grush et al., "Correlating Electronic Structure with Cycling Performance of Substituted LiMn₂O₄ Electrode Materials: A Study Using the Techniques of Soft X-ray Absorption and Emission", Chem. Mater, 2000, 12, pp. 659-664.						
		"Layered Cathode Materials Li[Ni _x Li _(1/3-2x/3) Mn _(2/3-x/3)]O ₂ for Lithium-Ion Batteries." Zhonghua Lu, et al. <i>Electrochemical and Solid-State Letters</i> 4(11) (2001), pp. A191-A194.						
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EXAMINER		EXAMINER	DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

SHEET 2 OF 2

INFORMATION DISCLOSURE "' CIT'ATION IN AN APPLICATION					ATTY. DOCKET NO. 043888-0267		ERIAL NO. 0/629,815		
				APPLICANT Tsutomu OHZU	APPLICANT Tsutomu OHZUKU, et al.				
(PTO-1449)					FILING DATE July 30, 2003				
			U	.S. PATEN	T DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code ₂ (# known)		Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US							
		US							
		US	*				 		
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-				FOREIGN PA	TENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.		reign Patent Document intry Codes -Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translat Yes	ion No	
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				• •	or, Title, Date, Pertinent Pages, E	•			
EXAMINER'S INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.								
		<u> </u>							
EXAMINER						DATE CONSI	DERED		

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